## Amendment Tool

v1.6 06 December 2021

| ection 1: Project information   |  |         |                             |                |                  |  |  |  |  |
|---|--|---------|-----------------------------|----------------|------------------|--|--|--|--|
| -   | Miero Cuido 2  |         |                             |                |                  |  |  |  |  |
| Short project title*:<br>IRAS project ID* (or REC reference if no IRAS project ID   | MicroGuide-2<br>295323   |         |                             |                |                  |  |  |  |  |
| is available):<br>Sponsor amendment reference number*:  | DRC #8614  |         |                             |                |                  |  |  |  |  |
| Sponsor amendment date* (enter as DD/MM/YY):  | 06 May 2022  |         |                             |                |                  |  |  |  |  |
|   |  |         |                             |                |                  |  |  |  |  |
| Briefly summarise in lay language the main changes<br>proposed in this amendment. Explain the purpose of the<br>changes and their significance for the study. If the<br>amendment significantly alters the research design or<br>methodology, or could otherwise affect the scientific value<br>of the study, supporting scientific information should be<br>given (or enclosed separately). Indicate whether or not<br>additional scientific critique has been obtained (note: this<br>field will adapt to the amount of text entered)*: | <ul> <li>3.1. Summary of Study Design – Timescales extended due to pandemic impacts.</li> <li>3.2. Study Population – redefined to include all NHS Trusts that use MicroGuide,</li> <li>3.3 Inclusion Criteria – For inclusion criteria: Demonstrating carbapenem class antimicro consumption within a middle band for NHS Trusts in England; added wording to optimi study power</li> </ul> |         |                             |                |                  |  |  |  |  |
|   |  |         | Specific st                 | udy            |                  |  |  |  |  |
| Project type (select):  |  |         | Research tis<br>Research da |                |                  |  |  |  |  |
| Has the study been reviewed by a UKECA-recognised Res<br>Committee (REC) prior to this amendment?:  | search Ethics  | Y       | es                          | I              | No               |  |  |  |  |
| What type of UKECA-recognised Research Ethics Commit  | ttee (REC) review  |         | NHS/HSC F                   | REC            |                  |  |  |  |  |
| is applicable? (select):  |  |         | Ministry of D               | efence (MoDREC | )                |  |  |  |  |
| Is all or part of this amendment being resubmitted to the Re<br>Committee (REC) as a <b>modified amendment</b> (i.e. a subst<br>previously given an unfavourable opinion)?  |  | Y       | es                          | 1              | ٧o               |  |  |  |  |
| Where is the NHS/HSC Research Ethics Committee (REC   | ) that reviewed  | England | Wales                       | Scotland       | Northern Ireland |  |  |  |  |
| the study based?:   | ,  | Yes     | No                          | No             | No               |  |  |  |  |
| Was the study a clinical trial of an investigational medicinal OR does the amendment make it one?:  | product (CTIMP)  | Y       | es                          | No             |                  |  |  |  |  |
| Was the study a clinical investigation or other study of a me<br>does the amendment make it one?:   | edical device OR   | Y       | es                          | No             |                  |  |  |  |  |
| Does this clinical investigation or other study of a medic<br>a Notice of No Objection from MHRA Devices?:  | al device require  |         | Yes                         | No             |                  |  |  |  |  |
| Did the study involve the administration of radioactive subs requiring ARSAC review, OR does the amendment introdu  |  | Y       | es                          | 1              | No               |  |  |  |  |
| Did the study involve the use of research exposures to ioni involving the administration of radioactive substances) OR amendment introduce this?:   | Y  | es      | No                          |                |                  |  |  |  |  |
| Did the study involve adults lacking capacity OR does the a introduce this?:  | amendment  | Y       | es                          | 1              | Νο               |  |  |  |  |
|   |  |         |                             |                |                  |  |  |  |  |
| Did the study involve access to confidential patient informa<br>direct care team without consent OR does the amendment  |  | Y       | es                          |                | ۷o               |  |  |  |  |
| · · ·   | t introduce this?:   |         | es                          |                | 40<br>40         |  |  |  |  |

For office use

QC: Yes

| Did the study involve NHS/HSC organisations prior to this amendment?:                       | Y       | es    | No       |                  |  |  |  |
|---|---------|-------|----------|------------------|--|--|--|
| Did the study involve non-NHS/HSC organisations OR does the amendment introduce them?:      | Y       | es    | No       |                  |  |  |  |
|   | England | Wales | Scotland | Northern Ireland |  |  |  |
| Lead nation for the study:  | Yes     | No    | No       | No               |  |  |  |
| Which nations had participating NHS/HSC organisations prior to this amendment?              | Yes     | No    | No       | No               |  |  |  |
| Which nations will have participating NHS/HSC organisations after this amendment?           | Yes     | No    | No       | No               |  |  |  |
| Was this a "single site, self sponsored" study in England or Wales prior to this amendment? | Y       | es    | No       |                  |  |  |  |

## Section 2: Summary of change(s)

**Please note:** Each change being made as part of the amendment must be entered separately. For example, if an amendment to a clinical trial of an investigational medicinal product (CTIMP) involves an update to the Investigator's Brochure (IB), affecting the Reference Safety Information (RSI) and so the information documents to be given to participants, these should be entered into the Amendment Tool as three separate changes. A list of all possible changes is available on the "Glossary of Amendment Options" tab. To add another change, click the "Add another change" box.

| Change 1  |   |                 |                        |              |              |  |  |  |  |  |
|---|---|-----------------|------------------------|--------------|--------------|--|--|--|--|--|
| Area of change (select)*:   | Administrative details  | for the project |                        |              |              |  |  |  |  |  |
| Specific change (select - only available when area of change is selected first)*:   | Contact details - Sponsor or representative   |                 |                        |              |              |  |  |  |  |  |
| Further information (free text - note that this field will adapt to the amount of text entered):  | Supplier Contact Information – Chief Investigator profile and contact information updated;<br>Director of Research profile information updated. Protocol Summary – Supplier's details<br>updated. |                 |                        |              |              |  |  |  |  |  |
| Applicability:  | England   | Wales           | Scotland Northern Irel |              |              |  |  |  |  |  |
| Where are the participating NHS/HSC organisations located by this change?*:   | Yes   | No              | No No                  |              |              |  |  |  |  |  |
| Will all participating NHS/HSC organisations be affected by some? ( <b>please note</b> that this answer may affect the categor change): |   | All Some        |                        |              |              |  |  |  |  |  |
|   |   |                 |                        | Remove all o | hanges below |  |  |  |  |  |

|   | Change 2   |   |  |                     |                  |  |  |  |  |  |  |
|---|--|---|--|---------------------|------------------|--|--|--|--|--|--|
| Area of change (select)*:   | Study Design   |   |  |                     |                  |  |  |  |  |  |  |
| Specific change (select - only available when area of change is selected first)*:   | Participant numbers - Minor change to sample size  |   |  |                     |                  |  |  |  |  |  |  |
| Further information (free text - note that this field will adapt to the amount of text entered):  | Changed wording to clarify on sample size calculation and redefined study population<br>8.3. Sample Size and Power Calculations – changed percentage of change in primary<br>outcomes (from 15% to 20%) and number of Trusts (from 9 to 8) to achieve study pov<br>80%; study power of 90% has been removed. |   |  |                     |                  |  |  |  |  |  |  |
| Applicability:  |  | England                                   | Wales                                    | Scotland            | Northern Ireland |  |  |  |  |  |  |
| Where are the participating NHS/HSC organisations located by this change?*:   | Yes  | No  | No                                       | No                  |                  |  |  |  |  |  |  |
| Will all participating NHS/HSC organisations be affected by some? ( <b>please note</b> that this answer may affect the categor change): | Ļ  | All                                       | Some                                     |                     |                  |  |  |  |  |  |  |
|   | Change 3   |   |  | Remove all          | changes below    |  |  |  |  |  |  |
| Area of change (select)*:   | Study Design   |   |  |                     |                  |  |  |  |  |  |  |
| Specific change (select - only available when area of change is selected first)*:   | Inclusion/exclusion crit   | teria - Minor chang                       | e unlikely to affect                     | safety or scientifi | c value of study |  |  |  |  |  |  |
| Further information (free text - note that this field will adapt to the amount of text entered):  | 3.2. Study Population<br>3.3 Inclusion Criteria –<br>consumption within a r<br>study power.<br>5 Study Flow Chart – <sup>-</sup>   | For inclusion crite<br>niddle band for NH | eria: Demonstrating<br>IS Trusts in Engl | ı carbapenem cla    | ss antimicrobial |  |  |  |  |  |  |
| Applicability:  |  | England                                   | Wales                                    | Scotland            | Northern Ireland |  |  |  |  |  |  |
|   |  | 9   |  |                     |                  |  |  |  |  |  |  |

|   |  | A                    |                      | Some  |                   |  |  |  |  |  |
|---|--|----------------------|----------------------|---|-------------------|--|--|--|--|--|
|   |  |                      |                      | Remove all changes below                            |                   |  |  |  |  |  |
|   | Change 4   |                      |                      |   |                   |  |  |  |  |  |
| Area of change (select)*:   | Study Design   |                      |                      |   |                   |  |  |  |  |  |
| Specific change (select - only available when area of change is selected first)*:   | uration that will not have any additional resource implications for ations - Please specify in the free text below           |                      |                      |   |                   |  |  |  |  |  |
| Further information In particular, please describe why this change can be implemented within the existing resource in place at the participating organisations (free text - note that this field will adapt to the amount of text entered)*   | 3.1. Summary of Stud   | y Design – Timesc    | ales extended due    | to pandemic imp                                     | pacts.            |  |  |  |  |  |
| Applicability:  |  | England              | Wales                | Scotland Northern I                                 |                   |  |  |  |  |  |
| Where are the participating NHS/HSC organisations locate by this change?*:  | d that will be affected  | Yes                  | No                   | No  | No                |  |  |  |  |  |
| Will all participating NHS/HSC organisations be affected by some? ( <b>please note</b> that this answer may affect the categor change):   |  | A                    | .11                  | Some  |                   |  |  |  |  |  |
|   | change).   |                      |                      |   |                   |  |  |  |  |  |
|   |  |                      |                      |   |                   |  |  |  |  |  |
|   | Change 5   |                      |                      |   |                   |  |  |  |  |  |
| Area of change (select)*:   | Change 5<br>Study Design   |                      |                      |   |                   |  |  |  |  |  |
| Area of change (select)*:<br>Specific change (select - only available when area of<br>change is selected first)*:   | -  |                      | •                    |   | sting resource in |  |  |  |  |  |
| Specific change (select - only available when area of   | Study Design<br>Other significant chan   | organisations - Plea | ase specify in the f | ree text below                                      |                   |  |  |  |  |  |
| Specific change (select - only available when area of<br>change is selected first)*:<br>Further information In particular, please describe why this<br>change can be implemented within the existing resource<br>in place at the participating organisations (free text - note<br>that this field will adapt to the amount  | Study Design<br>Other significant chan<br>place at participating o   | organisations - Plea | ase specify in the f | ree text below                                      |                   |  |  |  |  |  |
| Specific change (select - only available when area of<br>change is selected first)*:<br>Further information In particular, please describe why this<br>change can be implemented within the existing resource<br>in place at the participating organisations (free text - note<br>that this field will adapt to the amount<br>of text entered)*   | Study Design<br>Other significant change<br>place at participating of<br>6.1.1.1 Protection of H                             | luman Subjects – S   | ase specify in the f | ree text below<br>ethods expanded                   |                   |  |  |  |  |  |
| Specific change (select - only available when area of<br>change is selected first)*:<br>Further information In particular, please describe why this<br>change can be implemented within the existing resource<br>in place at the participating organisations (free text - note<br>that this field will adapt to the amount<br>of text entered)*<br>Applicability:<br>Where are the participating NHS/HSC organisations locate | Study Design<br>Other significant change<br>place at participating of<br>6.1.1.1 Protection of H<br>ed that will be affected | Iuman Subjects – S   | ase specify in the f | ree text below<br>ethods expanded<br>Scotland<br>No | Northern Ireland  |  |  |  |  |  |

Section 3: Declaration(s) and lock for submission

Declaration by the Sponsor or authorised delegate

| Name [first name and surname]*:  | Edita Custic Weguelin  |
|--|--|
| Email address*:  | edita.custic@msd.com   |
|  |  |
|  |  |
| Lock for submission  |  |
| Please note: This button will only become  | available when all mandatory (*) fields have been completed. When the button is available, clicking it will<br>eted amendment tool which must be included in the amendment submission. Please ensure that the amendment<br>t for submission. |
| Please note: This button will only become generate a locked PDF copy of the complete | eted amendment tool which must be included in the amendment submission. Please ensure that the amendmen  |

Section 4: Review bodies for the amendment

Please note: This section is for information only. Details in this section will complete automatically based on the options selected in Sections 1 and 2.

Review bodies

|                                    |        |                                     | UK                                    | wide:   |                     |                 | Eng     | land a | nd Wa | ales:               |          | Scot | land:    |                                | N    | ortherr          | n Irelai | nd:                            |          |
|------------------------------------|--------|-------------------------------------|---------------------------------------|---------|---------------------|-----------------|---------|--------|-------|---------------------|----------|------|----------|--------------------------------|------|------------------|----------|--------------------------------|----------|
|                                    | 0      | npetent Authority<br>RA - Medicines | Competent Authority<br>MHRA - Devices | ARSAC   | Radiation Assurance | UKSW Governance | C (MCA) | (1)    | HMPPS | A and HCRW Approval | C (AWIA) | Чc   | S (RAEC) | National coordinating function | CREC | C Data Guardians | Prisons  | lational coordinating function |          |
|                                    | REC    | Comp<br>MHR                         |                                       | AR      | Rad                 |                 | REC     | CAG    | ШM    | HRA                 | REC      | РВРР | SPS      | Nat                            | HSC  | HSC              | Pris     | Nat                            | Category |
| Change 1:                          | (Y)    |                                     | Y                                     |         |                     | Y               |         |        |       | (Y)                 |          |      |          |                                |      |                  |          |                                | С        |
| Change 2:                          | Ν      |                                     | Y                                     |         |                     | (Y)             |         |        |       | (Y)                 |          |      |          |                                |      |                  |          |                                | В        |
| Change 3:                          | Ν      |                                     | Y                                     |         |                     | (Y)             |         |        |       | (Y)                 |          |      |          |                                |      |                  |          |                                | В        |
| Change 4:                          | Ν      |                                     | Y                                     |         |                     | (Y)             |         |        |       | (Y)                 |          |      |          |                                |      |                  |          |                                | С        |
| Change 5:                          | Y      |                                     | Y                                     |         |                     | Y               |         |        |       | Y                   |          |      |          |                                |      |                  |          |                                | С        |
| Overall reviews for the amendme    | nt:    |                                     |                                       |         |                     |                 |         |        |       |                     |          |      |          |                                |      |                  |          |                                |          |
| Full review:                       | Y      |                                     | Y                                     |         |                     | Y               |         |        |       | Y                   |          |      |          |                                |      |                  |          |                                |          |
| Notification only:                 | N      |                                     | N                                     |         |                     | N               |         |        |       | N                   |          |      |          |                                |      |                  |          |                                |          |
| Overall amendment type:            | Sub    | ostantia                            | al                                    |         |                     |                 |         |        |       |                     |          |      |          |                                |      |                  |          |                                |          |
| Overall Category:                  | B/C    |                                     |                                       |         |                     |                 |         |        |       |                     |          |      |          |                                |      |                  |          |                                |          |
| For national coordinating function | office | use:                                |                                       |         |                     |                 |         |        |       |                     |          |      |          |                                |      |                  |          |                                |          |
| Update HARP:                       | Ens    | s amer<br>sure th<br>ew is r        | at HAF                                | RP is u |                     |                 | -       |        |       |                     |          |      |          |                                |      |                  |          |                                |          |